

DIVISION

FEB -7 PM 4:29

PATENT APPLICATION  
IN THE U.S. PATENT AND TRADEMARK OFFICE

February 1, 2007

Applicant(s): Junzo SUNAMOTO et al.

For: COSMETIC PRODUCT CONTAINING POLYSACCHARIDE-STEROL  
DERIVATIVE

Serial No.: 09/936 953                      Group: 1617  
Confirmation No.: 4435  
Filed: September 17, 2001              Examiner: Chong  
International Application No.: PCT/JP00/02044  
International Filing Date: March 30, 2000  
Atty. Docket No.: Yanagihara Case 62

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

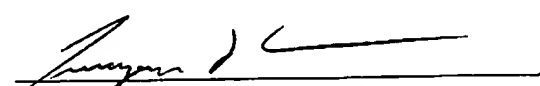
Enclosed is a copy of the December 2006 Deposit Account Statement showing charges of \$70.00 for a petition fee in the above matter. This charge is believed to be in error. The 37 CFR \$1.103(c) fee for requesting limited suspension of action in a request for continued examination falls under \$1.17(i) processing fee of \$130.00. An RCE was filed on December 13, 2006, wherein the filing fee of \$790.00 and the suspension of action fee of \$130.00 was submitted.

Please credit to Deposit Account No. 061382 the amount of  
\$70.00.

IN DUPLICATE

TFC/cc

Respectfully submitted,

  
Terryence F. Chapman

FLYNN, THIEL, BOUTELL  
& TANIS, P.C.  
2026 Rambling Road  
Kalamazoo, MI 49008-1631  
Phone: (269) 381-1156  
Fax: (269) 381-5465

Dale H. Thiel  
David G. Boutell  
Terryence F. Chapman  
Mark L. Maki  
Liane L. Churney  
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Encl: Copy of September 2006 Deposit Account Statement  
Copy of Check #027826

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on February 1, 2007.

Respectfully submitted,

  
Terryence F. Chapman

110.0703

## Deposit Account Statement

Page 2 of 2

12/13 28	77062384	3008.T0283US	7001	\$650.00/OK	\$23,651.55
12/13 21	5911177	5 911 177 - 5714.P0019US	2552	\$1,150.00/OK	\$22,501.55
12/13 2364	2037818	5594.T0001US	7205	\$200.00/OK	\$22,301.55
12/13 2365	2037818	5594.T0001US	7201	\$800.00/OK	\$21,501.55
12/15 396	78374654	S*O TM 212	7004	\$450.00/OK	\$21,051.55
12/18 3	78696642	3002.M1076US	7402	\$300.00/OK	\$20,751.55
12/18 323	77065434	5016.T0038US	7001	<del>\$650.00/OK</del>	<del>\$20,101.55</del>
12/18 31	09936953	YANAGIHARA CASE 62	1463	petition fee \$70.00	\$20,031.55
12/18 389	11527325	3700.P0422US	8021	\$40.00/OK	\$19,991.55
12/18 391	29269014	3138.P0387US	8021	\$40.00/OK	\$19,951.55
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12/18 406	29269154	3138.P0375US	8021	\$40.00/OK	\$19,831.55
12/19 25	2613418	5315.T0001US	8521	\$40.00/OK	\$19,791.55
12/19 558	29269153	3138.P0389US	8021	\$40.00/OK	\$19,751.55
12/19 565	29269012	3138.P0386US	8021	\$40.00/OK	\$19,711.55
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12/20 77	29269009	3138.P0384US	8021	\$40.00/OK	\$19,471.55
12/20 296	78402509	5636.T0001US	7004	\$900.00/OK	\$18,571.55

START  
BALANCE  
\$11,726.55

SUM OF  
CHARGES  
\$13,155.00

SUM OF  
REPLENISH  
\$20,000.00

END  
BALANCE  
\$18,571.55

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**FLYNN, THIEL, BOUTELL & TANIS, P.C.**

2026 RAMBLING ROAD  
KALAMAZOO, MICHIGAN 49008

027826

DATE Dec. 13, 2006

PAY TO THE ORDER OF **COMMISSIONER OF PATENTS AND TRADEMARKS**

\$ 920.00

Nine Hundred Twenty and No/100

DOLLARS

REF: 5720. P0062US

FIFTH-THIRD BANK  
KALAMAZOO, MICHIGAN

FLYNN, THIEL, BOUTELL & TANIS, P.C.

**NON NEGOTIABLE**  
*Tenylene J. Chap...*  
AUTHORIZED SIGNATURE

FLYNN, THIEL, BOUTELL & TANIS, P.C.

027826

SERIAL NO.	69/936 953	PATENT OR REG. NO.		ATTY REF.	5720. P0062US
DESCRIPTION		LARGE ENTITY	SMALL ENTITY	TRADEMARKS	
FILING FEE	RCE	\$ 790			
ASSIGNMENT RECORDING FEE					
EXTENSION FEE					
ADDITIONAL FILING FEE					
ISSUE FEE					
PETITION FEE	Suspension of Action	\$ 130			
AFFIDAVIT OR RENEWAL FEE					
APPEAL FEE					
MAINTENANCE FEE					
OTHER:					
TOTAL		\$ 920			

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ENT=1918 TRC=1918

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PATENT APPLICATION

2006  
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IN THE U.S. PATENT AND TRADEMARK OFFICE  
Express Mail Label No. EV 908 858 973 US  
Attorney Docket No.: Yanagihara 62  
December 13, 2006

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL**

Sir:

This is a Request for Continued Examination (RCE) under 37 CFR \$1.114 of the below-identified pending utility or plant application:

Serial No.: 09/936 953

Filing Date: September 17, 2001

Confirmation No.: 4435

Title: COSMETIC PRODUCT CONTAINING  
POLYSACCHARIDE-STEROL DERIVATIVE

Inventors: (1) Junzo SUNAMOTO, (2) Kunio SHIMADA,  
(3) Akio HAYASHI, (4) Ryuzo HOSOTANI,  
(5) Yoshihiro YANO and (6) Kazunari AKIYOSHI

Group: 1617

Examiner: Chong

Please direct correspondence to the address associated with the following customer number:

**23474**

FLYNN, THIEL, BOUTELL & TANIS, P.C.  
(FIRM)

1. Submission required under 37 CFR \$1.114
  - a. ☐ An amendment under 37 CFR \$1.116 previously filed on \_\_\_\_\_ was not entered. Please enter before calculating the filing fee.
  - b. ☒ Enclosed is/are:
    - i. ☐ Amendment/Response (please enter before calculating the filing fee)
    - ii. ☐ Affidavit(s)/Declaration(s)
    - iii. ☐ Information Disclosure Statement (IDS)
    - iv. ☒ Acknowledgment Post Card

12/18/2006 EFLORES 00000016 061382 09936953

01 FC:1801 790.00 OP  
02 FC:1463 70.00 DA 130.00 OP

Adj 12/18/2006 EFLORES 00000016 061382 09936953  
02 FC:1463 70.00 CR -130.00 OP

02/26/2007 SDEHBOB1 00000010 09936953  
01 FC:1464

130.00 OP

v. ☒ Letter to Examiner

2. Miscellaneous

- a. ☐ Small entity status is claimed. See 37 CFR 1.27.  
☐ Statement(s) re small entity is/are enclosed.

b. ☐ \_\_\_\_\_.

3. Fees (The RCE fee under 37 CFR \$1.17(e) is required by 37 CFR \$1.114 when the RCE is filed).

- a. ☒ The RCE fee and any filing fee for claims are calculated as shown below, after entering any amendments which this transmittal indicates are to be entered prior to such calculation:

For	No. Filed	No. Extra	(X) LG Entity	RATE	( ) SM Entity	Fee
Basic RCE Fee				\$790.00	\$395.00	\$790.00
Total Claims	(8 - 20 = 0)		x \$ 50.00		x \$ 25.00	
Indep. Claims	(1 - 3 = 0)		x 200.00		x \$100.00	
<input type="checkbox"/> Multiple Dep. Claim			+ \$360.00		+ \$180.00	
. * * * TOTAL FILING FEE * * *						\$ 790.00

- b. ☐ Pursuant to 37 CFR \$1.136(a), please extend the shortened period for response by month(s). The extension fee is:  
☐ \$ (37 CFR \$1.17)  
☐ \$ (37 CFR \$1.17; small entity status established by verified statement(s) submitted previously).

- c. ☒ Suspension of action on the above-identified application is requested under 37 CFR \$1.103(c) for a period of 3 months. (Period of suspension shall not exceed 3 months). Suspension of action fee is \$130.00 (37 CFR \$1.17(i)).

- d. ☒ A check for \$920.00 is enclosed to cover fees.

- e. ☐ Please charge my Deposit Account No. 06-1382 in the amount of \$ \_\_\_\_\_. A duplicate copy of this transmittal is enclosed.

- f. ☒ The Commissioner is hereby authorized to charge payment of any additional application processing fees under 37 CFR \$1.17 associated with this communication or to

credit any overpayment to Deposit Account No. 06-1382.

A duplicate copy of this sheet is enclosed.

The undersigned is: ☒ an attorney of record

☐ acting under 37 CFR §1.34.

Please direct all telephone calls to the undersigned at  
telephone number (269) 381-1156.

Respectfully submitted,

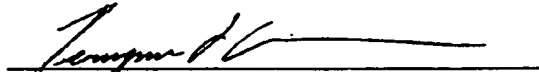
FLYNN, THIEL, BOUTELL & TANIS, P.C.

IN DUPLICATE

TFC/smd

Encl: Listed above

106.12/05

  
Terrance F. Chapman  
Reg. No. 32 549